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Customer Number

Patent
Case No.: 58391US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: FAN, XUDONG

Application No.: 10/685049

Confirmation No.: 5689

Filed: October 14, 2003

Title: HYBRID SPHERE-WAVEGUIDE RESONATORS

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
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P.O. Box 1450
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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

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Respectfully submitted,

22 Mar 2007
Date

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/685049
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Attorney Case Number	58391US002

U.S. Patent Documents

Exam Init *	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2002/0044739	04/18/2002	Vahala et al.	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

Foreign Patent Documents

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		Ctry. Code	Number-Kind Code (if known)				
	B1	GB	2 293 883	04/10/1996			
	B2	GB	2 387 130	10/08/2003			
	B3	WO	01/85341	11/15/2001			
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
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